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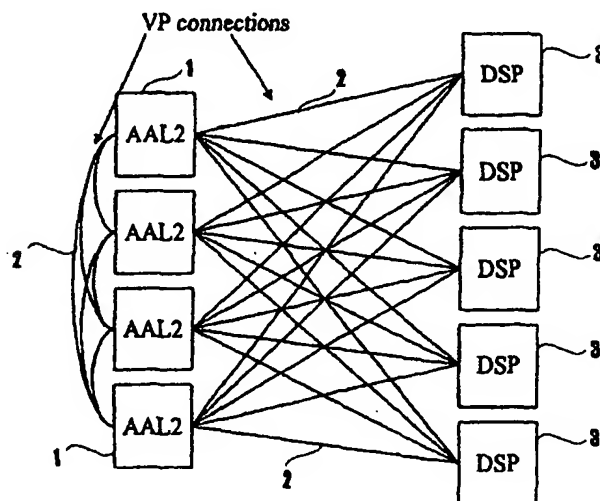
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(54) Title: METHOD AND SYSTEM FOR CONNECTION SET-UP IN A COMMUNICATION SYSTEM COMPRISING SEVERAL SWITCHING UNITS AND SEVERAL PROCESSING UNITS



(57) Abstract: The invention provides a method and system for connection set-up in a communication system which comprises a plurality of first processing units, e.g. switching units, and a plurality of second processing units, and transmits information as a stream of information cells having cell identification. For reducing the number of messages in setting up connections, the first processing units are connected to the second processing units, and information cells are supplied to several processing units which distinguish between the cells based on the cell identification for further processing. The information is preferably ATM transmitted, and all first processing units are connected to all second processing units using virtual path connections on the ATM layer. The processing unit to which the information cell is directed is identified using virtual channel.

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*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

METHOD AND SYSTEM FOR CONNECTION SET-UP IN A COMMUNICATION  
SYSTEM COMPRISING SEVERAL SWITCHING UNITS  
AND SEVERAL PROCESSING UNITS

5

FIELD OF THE INVENTION

The present invention relates to a method and system for the  
10 setup of connections in a communication system comprising  
several switching units and several processing units. Such a  
system is generally necessary for transmitting information to  
a desired destination, for instance in a system using ATM  
(Asynchronous Transfer Mode) transmission.

15

BACKGROUND OF THE INVENTION

20 In UMTS/3G (Universal Mobile Telecommunication System, Third  
generation) mobile networks, RNC (Radio Network Controller)  
and ATM (Asynchronous Transfer Mode) module network elements  
may be implemented using a concept which has separate  
switching units, for instance AAL (ATM Adaptation Layer, such  
25 as AAL2 ATM Adaptation Layer type 2) switching units, and  
separate DSP (Digital Signal Processor) processor units. A  
DSP is designed for signal and data handling, resembling an  
ordinary microprocessor. AAL2 switching units are used for  
demultiplexing and multiplexing AAL2 type of traffic from  
30 normal N\_cid format to AAL2 1\_cid format. N\_cid means that  
one ATM cell contains several AAL2 microcells. 1\_cid means  
that ATM cells contain only one AAL2 microcell. The basic  
switching function is the functionality when received N\_cid  
is demultiplexed into 1\_cids, and 1\_cid, received in other  
35 direction, is multiplexed with other 1\_cids to specific

N\_cid. N\_cid is always received from, or sent to the network and l\_cid is an internal format between AAL2 switching units and between AAL2 unit and DSP processor unit. The above described type of network elements is merely presented as an example. The invention can be implemented in any type of network elements.

Fig. 4 illustrates the switching topologies in two typical switching cases. The upper part shows a first typical switching case, whereas the lower part shows another typical switching case. In Fig. 4 and the other Figures, several signal processing units such as NIU (Network Interface Unit) are shown.

It is obvious from Fig. 4 that the ATM module switching case (upper part) contains only three ATM - level connections whereas the RNC switching case needs four ATM -level cross-connections. These connections are currently handled under the leg concept schematically shown in Fig. 5. As illustrated in Fig. 5, ATM connections are provided for interconnecting the network elements in such a manner that legs are formed which end between the MDC and right-hand AAL2 element and are connected by means of a leg connector for finally providing a through switch connection.

The requirements for handling the connection are high and the creation of an ATM connection is quite complex due to Quality of Service (QoS) requirements, hardware structure and so on. For making one ATM connection in the ATM level, a minimum of four messages across the computers inside the switching system is required. In other structures, it may be possible to implement such an ATM connection with two messages. However, in basic cases four cross-connections per one through switch connection are created.

The number of created ATM connections will advantageously be reduced when providing N\_cid connections because one N\_cid contains several connections. For instance, an N\_cid VCC (Virtual Channel Connection) is created only once for 248  
5 N\_cids (in optimal situation). N\_cid is terminated in AAL2 switching unit and there are for each cid one ATM layer level VCC connection. The VCC connection needs to be created every time when a new AAL2 connection is created. The same applies for the case of deletion of connections.

10

In the following, some problems related to these connection setup requirements are discussed. The ATM connection control needs a very fast data management tool to handle all the termination point and cross-connection object setups and  
15 deletions. Further, the number of messages required and/or the number of all connection setup/deletions per unit time such as 1 second is very high. MXU's SAR (Multiplexer Unit's Segmentation and Reassembly) and CPU (Central processing unit) processing performance is required to be very high to  
20 be adequate for handling the data streams. Moreover, a heavy CAC (Connection Admission Control) load occurs as each setup goes through CAC in several points (corresponding to hardware (HW)).

25 The invention relates, among others, to Virtual Channel and Virtual Path technology. A virtual channel (VC) designates a unidirectional transport of ATM cells associated by a common unique identifier value. A virtual channel connection (VPC) is a concatenation of virtual channel links that forms an ATM  
30 connection between a transmitting party and a receiving party, and in which both virtual path and virtual channel identifiers are used. A virtual path (VP) means a unidirectional transport of ATM cells that are associated by a common identifier value. A virtual path connection (VPC) is  
35 a concatenation of virtual path links that extends between

the point where the virtual channel identifier values are assigned and the point where those virtual values are translated or removed.

5

## BRIEF SUMMARY OF THE INVENTION

Since the requirements for call handling, especially for BHCA (Busy Hour Call Attempt), are high and the ATM switching  
10 setup is complex, the invention aims at finding a solution for making connection setup more efficient and provide more performance to satisfy the performance requirements.

The invention provides, for achieving this task, a method  
15 and/or system for connection set-up in a communication system which comprises a plurality of first processing units and a plurality of second processing units, and transmits information as a stream of information cells having cell identification, wherein the first processing units are  
20 connected to the second processing units, and information cells are supplied to several processing units which distinguish between the cells based on the cell identification for further processing. The first processing units may be AAL switching units and the second processing  
25 units may be signal processing units. Alternatively, the first and second processing units can be AAL switching units, or may be implemented as signal processing units. Preferably, the information is ATM transmitted.

30 The first and/or second processing units may each contain one or more digital signal processing units.

In a preferred embodiment, all first processing units are connected to all second processing units using virtual path  
35 connections on the ATM layer.

That processing unit to which the information cell is directed is preferably identified using the virtual channel identifier.

5

The resources between the first and second processing units are preferably reserved by virtual path.

10 In a preferred embodiment, the virtual channel identifiers (VCIs) are known only in the first and second processing units. Therefore, it is not necessary that the VCIs are known for example in the switching means provided between the processing units. This feature reduces the necessary storage capacity and operational load of the switching means which  
15 may be composed for instance of multiplexers and switching fabric.

The first processing units may be connected to each other by means of such a switching means, or by a general bus, for  
20 example a message bus. The second processing units may be connected to each other, and/or to the first processing units, in a similar manner.

Furthermore, the cell identifications are preferably  
25 allocated by a resource manager. The resource manager may deliver the VCIs taking account of the capacity and the services that are offered by the processing units. The resource manager preferably also knows all Virtual Path Identifiers (VPIs).

30

The resource manager preferably takes care of the connection set-ups between the processing units, and knows the resources of the processing units.

35 One of the additional advantages of the invention is that the

resource manager does not need much time in calculating for example the Connection Admission Control (CAC) in every connection set-up between processing units.

- 5 The first and/or second processing units may comprise AAL switching units which preferably differentiate different AALs by different Virtual Channel Identifiers (VCIs).

The invention provides a virtual path piping (in the  
10 following also termed VP-piping) which is a simple and yet very effective concept which significantly increases the performance by reducing the amount of required messages in the connection setup. This concept utilizes only the basic ATM functionalities and makes advantage of the hardware  
15 concept used in the system.

#### BRIEF DESCRIPTION OF THE FIGURES

- 20 Fig. 1 shows a basic structure of virtual path connections between network elements in a preferred embodiment of the invention;

Fig. 2 illustrates the structure of the AAL switching units;

25

Fig. 3 shows a structure of a DSP arrangement of an embodiment;

- Fig. 4 shows two switching topologies for typical switching  
30 cases; and

Fig. 5 is a diagram illustrating the steps for through switch connection using ATM connections and leg concept.

35



DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS OF THE  
INVENTION

- First, the basics of the proposed connection solution using  
5 the concept of VP piping (Virtual Path piping) will be  
described. Thereafter, a more detailed implementation and  
requirements from hardware (HW) and software (SW) point of  
view will be discussed.
- 10 The key idea of the VP piping is to connect all the switching  
units in the adaptation layer such as AAL (e.g. AAL2)  
switching units, to all processing units such as DSP  
processing units, with virtual path connections (VPC). This  
leads to the creation of a full mesh topology between the AAL  
15 (e.g. AAL2) and DSP units. After creation of this topology  
there is no need for making any further ATM-layer level  
connection setups at all. It is only necessary that virtual  
channels are reserved from the SAR (Segmentation and  
Reassembly) devices in the AAL2 and the DSP units to be able  
20 to make VCC (Virtual Channel Connection).

- Fig. 1 illustrates the resulting topology structure with  
fully developed virtual path connections 2 between the AAL  
switching units 1 and the DSP (Digital Signal Processor)  
25 units 3. The virtual path connections are generated not only  
between all switching units 1 and all DSP units 3 but also  
between each of the switching units 1 as shown in Fig. 1.

- When the VPC (Virtual Path Connection) topology shown in Fig.  
30 1 is created beforehand, the number of ATM-layer level  
connection setups and deletions are reduced during runtime to  
zero.

- The basic solution to use VPCs on the ATM layer is no problem  
35 for the hardware. Likewise, the VC (Virtual Channel)

reservation is not problematic for the hardware.

DSP units (e.g. Configurable DSPs) 2 and AAL2 Units 1 are, in this embodiment, units with several processors. Each  
5 processor is capable of receiving and sending cells (i.e. processors have SAR capabilities). There is preferably only one interface for each unit which is shared (commonly used) by each processor of the respective unit. In such an environment a capability to transfer a cell (information or  
10 message cell) through one interface to the appropriate processor is needed. Furthermore, the functionality of sharing this interface between several processors sending at the same time is necessary.

15 The hardware part of the present invention provides both these functionalities and comprises a FIFO memory 4 (Fig. 2) which may consist of an UTOPIA extender and/or a First-In First-Out buffer. Regarding the specification of UTOPIA, see for instance TR 100 815 V1.1.1 (1999-02) of ETSI (European  
20 Telecommunications Standards Institute), or AF-PHY-0017.000: "The ATM Forum Technical Committee, UTOPIA Specification, Level 1, Version 2.01".

Fig. 2 shows an example of an AAL2 switching unit providing  
25 this function. The other switching units are similarly structured. In one direction (towards the processors 5), the FIFO 4 receives cells and copies each cell to each processor 5. In the other direction, the traffic from the processors 5 is queued in the FIFO 4 and is sent forward in accordance  
30 with the first-in first-out method.

(UTOPIA) FIFO functionality in this form means that each cell is received by all the processors 5 in this unit. The distinction between which processor will actually use the  
35 cell and will not discard it, is made based on the active SAR

channels. The processor's SAR functionality which identifies the cell (channel is opened with the same VPI,VCI (Virtual Path Identifier, Virtual Channel Identifier) as is specified in the received cell) can receive the cell. Processors that  
5 do not recognize the VPI, VCI of the received cell are arranged to discard it.

In this way, it will be ensured that only one processor can open a specified channel. Because of this rule, i.e. based on  
10 the defined ATM functionality, only one processor can receive the cell and the other processors will discard it.

Fig. 3 shows the structure of a DSP unit, wherein several groups 6 to 9 of DSPs are provided, with each group being  
15 assigned to one of several SAR devices 10 (which may be based on PowerQuick2, PQ2, concept). Here, the SAR devices 10 are arranged between an associated group 6, 7, 8, or 9 of DSPs, and the FIFO memory 4, as shown in Fig. 3.

20 Contrary to a concept in which an used virtual path identifies a processor, it is essential, according to the present invention (VP piping), that all the processors in one unit can share the same VP, and the VC value is used for distinguishing between the processors. Furthermore, no  
25 processor is permanently tied to a certain VC/VP.

According to this hardware solution, each processor has to discard an average of 75% of all received cells. Any cell misinsertion ratio counters will therefore be set to high  
30 admissible values.

For allowing an easy updating of the UTOPIA FIFO functionality and providing additional support for the VP piping, a hardware solution replacing UTOPIA FIFO may be  
35 provided which is a switch type of solution.

In the following, the solution will be described from the software point of view. Regarding the basic functionality, the software managing the VP-piping will be changed compared to a software managing the system with dynamic legs. The main issue in setting up of legs is that no setup on the ATM-layer is required because VP is used. The VPC may be set-up made on first request between two units, or may be VPC preconfigured. When the VPC connection means tries to select a used VP, it will be necessary to select another unit because that VP is already connected. This means that DSP resource manager or AAL2 connection control cannot select, for routing, an appropriate VP value individually, and create some VCLtp (Virtual Channel Link Termination Point) below selected VPLtp (Virtual Path Link Termination Point) and create cross connection between selected VCLtps. This is how the system works when using dynamical leg setup.

In the new VP-piping concept presented here, the set-up uses a co-operation between DSP RM (Resource Manager) and AAL2 connection control. This co-operation means that both resource managers select a set of resources (AAL2 switching unit and DSP processors) where there is still resources available for a new "connection". The term "set of resources" means the exact processor/DSP processor that is available for the leg. The processor further defines a set of VPC connections. A set of VPC connections are all the connections from one unit (as already stated above, unit's processors share the VPC). The set of VPCs might be limited below maximum if CAC (Connection Admission Control) functionality considers certain VPC as fully booked. The sets defined by both resource managers involved (DSP RM - AAL2 CC (connection control), or AAL2 CC and AAL2 CC) is compared with each other - the comparison result indicates the set of possible VPCs to be used for this leg and one of those is selected. These

procedures are the basic rules. Additionally, for example, the macro diversity combining function can further restrict the selection DSP unit to exactly one possible unit since the unit already handles one "sister" leg of same connection. The  
5 selection of the AAL2 switching unit may also be restricted to one unit if that unit handles the N\_cid required. In these case the set is actually limited to only these sets of VP connections that are possible. In some case there is only VPC possible. If it does not have resource available, the  
10 connection needs to be rejected.

The selection of VC-values has to be coordinated because in the VP switching the VCI value is the same in both ends of the connection. This is actually quite a simple task since  
15 VCI space under VPC is common for both ends. If selection of VCI value is made in one side and delivered to the other side the process will automatically be successful. Either one will have VCI reserved. If the same VCI should be attempted to be reserved twice, this will not be accepted.

20

In the following the configuration of connections will be described. The configuration of VPCs can take place either beforehand, for instance at the start-up of the whole system, or at the time the first l\_cid is created between two units.  
25 In the first alternative, the resources for VPC are reserved for the whole time. This means that the resources cannot be used by another connection. This can be a problem in network elements which have no extra switching capacity. However, this solution is simpler to implement and very quick as no  
30 delays in the set-up due to VPC setup will be caused.

The second alternative, that is a solution which requests VPC only at the time when the first connection is created between two units, is more complicated to implement, but uses  
35 switching resources only when needed and is more suitable for

a system with very limited switching capacity. The setup of a VP connection may cause a brief delay to connection setup.

However, this concerns only a very small part of the connection. Furthermore, these situations will presumably occur mostly after restart. This solution can be more flexible also in a failure situation because it has the means for VPC setup "by heart", that is adapting to the present configuration of the network, whereas the other solution is bound to a fixed configuration.

10

The following explanations deal with the identification of the VP connections. A VP selection method to select VC sc. internal routing method is not very usable in the VP piping. In the VP piping the object to identify is the cross-

15

connection between two units. Thus, the identification is tied to two units. The units can be identified by internal interface identifier or by some functional unit index and type. A unit identified needs further refining for the identification of the processor in the unit. Also the connection needs to be identified by the VPI values of the VPC termination point.

20

Preferably, the connection is identified by using logical interface ID/VPI pair. The connections can also be identified by using logical interface identifier pair but this means that VPI values have to be found by other means. The resource managers usually know each unit logical interfaces so as to be able to reserve VCLTPs.

25

30

In the following, some management cases will be considered. A source of failure cases may be that the resource managers (DSP RM, AAL2 CC) handle the non-redundant "resource pools" (AAL2 Termination and switching and DSP units) of the NE (network element).

35

One basic function of the resource manager is to follow the operationality of the resources. In case of failure in resource the information is transferred to the knowledge of resource manager. Resource manager will use this information  
5 to prevent the use of the faulty resource.

It has to be mentioned that prevention of using such a resource is enough since the release of calls is left for signaling.

10

If the functional unit state of a certain processor changes it means that use of this processor must be prevented.

However, it is not necessary to release all the connections to that unit since the same connection is shared with the

15

other processors as well. If a top level functional unit state changes it means that all the connections can be released. Every state change possibility needs to be considered before starting the implementation.

20

Regarding to connection admission control (CAC), and especially the resource reservation, several approaches can be taken, such as bulk reservation, or reserving VP bandwidth, while VCs are reserved from SARs. Bulk reservation means that bandwidth for the VPC is allocated as CBR

25

(constant bit rate) with highest estimation of traffic through that link. This approach is favorable if there is enough resource available. However, if the ATM switching resources are limited more resources than are actually used will be reserved. Another solution is that every time a l\_cid is reserved inside VPC, the reservation of VPC is increased.  
30 This approach uses resources in a more efficient way but is required separately for each connection setup.

35

The VP-piping setups can be defined with fixed configuration.

The invention achieves a significant reduction of messages necessary for establishing connections as compared with a conventional case.

In the following the number of messages sent/received in a practical example of the invention will be listed for several different cases, wherein the number of messages needed in a conventional case are indicated in brackets for comparison purpose.

- 10 The number of messages for general set-up is 32 (66). The number of messages for set-up between individual units is only 6 (26). For general deletion, 30 (54) messages are exchanged. A deletion between units requires 10 (18) messages. The estimated traffic from RSMU is around 8,100 (22,000) messages.

This comparison illustrates the significant reduction of messages to be exchanged and, thus, of processor load for connecting calls in the shown embodiment.



## CLAIMS

1. Method for connection set-up in a communication system  
5 which comprises a plurality of first processing units and a plurality of second processing units, and transmits information as a stream of information cells having cell identification, wherein the first processing units are connected to the second processing units, and information  
10 cells are supplied to several processing units which distinguish between the cells based on the cell identification for further processing.
- 15 2. Method according to claim 1, wherein the first processing units are AAL switching units and the second processing units are signal processing units.
- 20 3. Method according to claim 1, wherein the first and second processing units are AAL switching units.
4. Method according to claim 1, wherein the first and second  
25 processing units are signal processing units.
5. Method according to any one of the preceding claims, wherein the information is ATM transmitted.  
30
6. Method according to any one of the preceding claims, wherein the first and/or second processing units each contain one or more digital signal processing units.  
35

7. Method according to any one of the preceding claims,  
wherein all first processing units are connected to all  
second processing units using virtual path connections on the  
5 ATM layer.

8. Method according to any one of the preceding claims,  
wherein the processing unit to which the information cell is  
10 directed is identified using the virtual channel identifier.

9. Method according to any one of the preceding claims,  
wherein resources between the first and second processing  
15 units are reserved by virtual path.

10. Method according to any one of the preceding claims,  
wherein the virtual channel identifiers are known only in the  
20 first and second processing units.

11. Method according to any one of the preceding claims,  
wherein cell identifications are allocated by a resource  
25 manager.

12. Method according to any one of the preceding claims,  
wherein the first and/or second processing units comprise AAL  
30 switching units which differentiate different AALs by  
different Virtual Channel Identifiers (VCIs).

13. System for connection set-up in a communication system  
35 which comprises a plurality of first processing units and a

plurality of second processing units, and is arranged for transmitting information as a stream of information cells having cell identification, wherein, for setting up connections, the first processing units are connected to the  
5 second processing units, and information cells are supplied to several processing units which distinguish between the cells based on the cell identification for further processing.

10

14. System according to claim 13, wherein the first processing units are AAL switching units and the second processing units are signal processing units.

15

15. System according to claim 13, wherein the first and second processing units are AAL switching units.

20

16. System according to claim 13, wherein the first and second processing units are signal processing units.

25

17. System according to any one of claims 13 to 16, wherein the information is ATM transmitted.

30

18. System according to any one of claims 13 to 17, wherein the first and/or second processing units each contain one or more digital signal processing units.

35

19. System according to any one of claims 13 to 18, wherein all first processing units are connected to all second processing units using virtual path connections on the ATM

layer.

20. System according to any one of claims 13 to 19, wherein  
5 the processing unit to which the information cell is directed  
is identified using the virtual channel identifier.

21. System according to any one of claims 13 to 20, wherein  
10 resources between the first and second processing units are  
reserved by virtual path.

22. System according to any one of claims 13 to 21, wherein  
15 the virtual channel identifiers are known only in the first  
and second processing units.

23. System according to any one of claims 13 to 22,  
20 comprising a resource manager for allocating the cell  
identifications.

24. System according to any one of claims 13 to 23, wherein  
25 the first and/or second processing units comprise AAL  
switching units which differentiate different AALs by  
different Virtual Channel Identifiers (VCIs).

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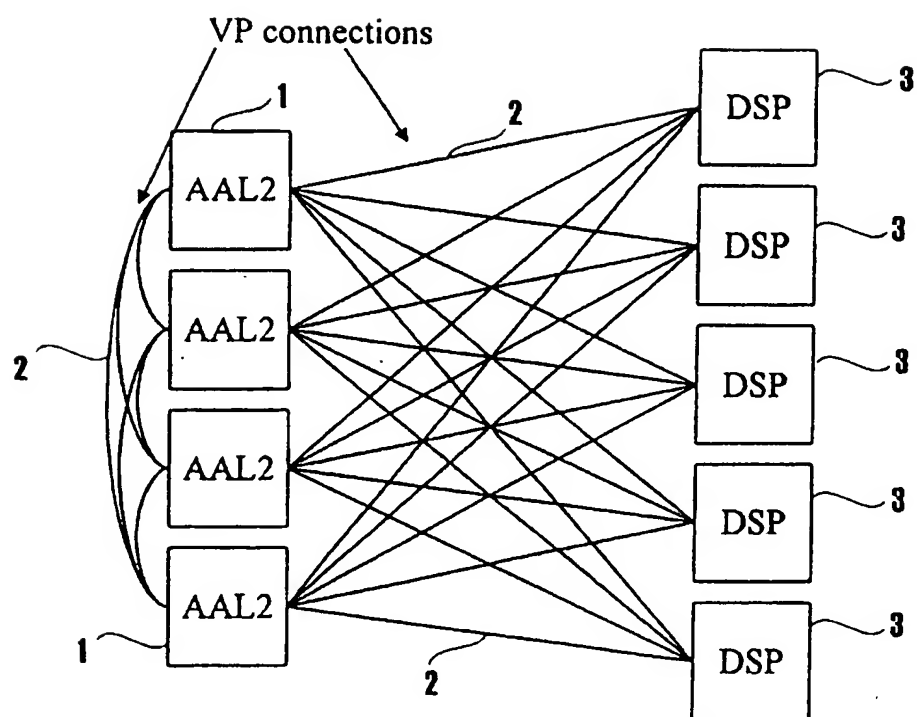


FIG. 1

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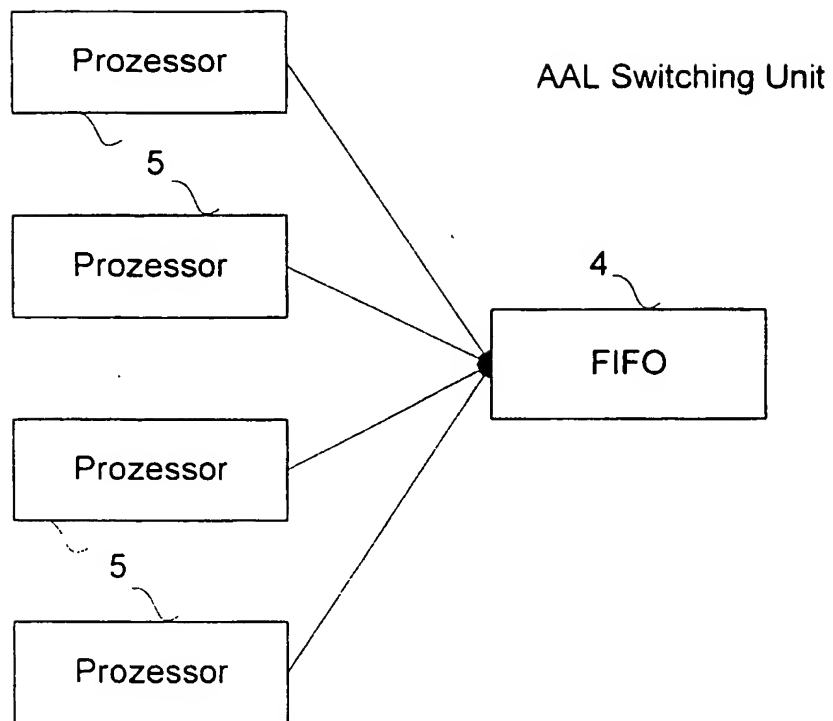


Fig.2

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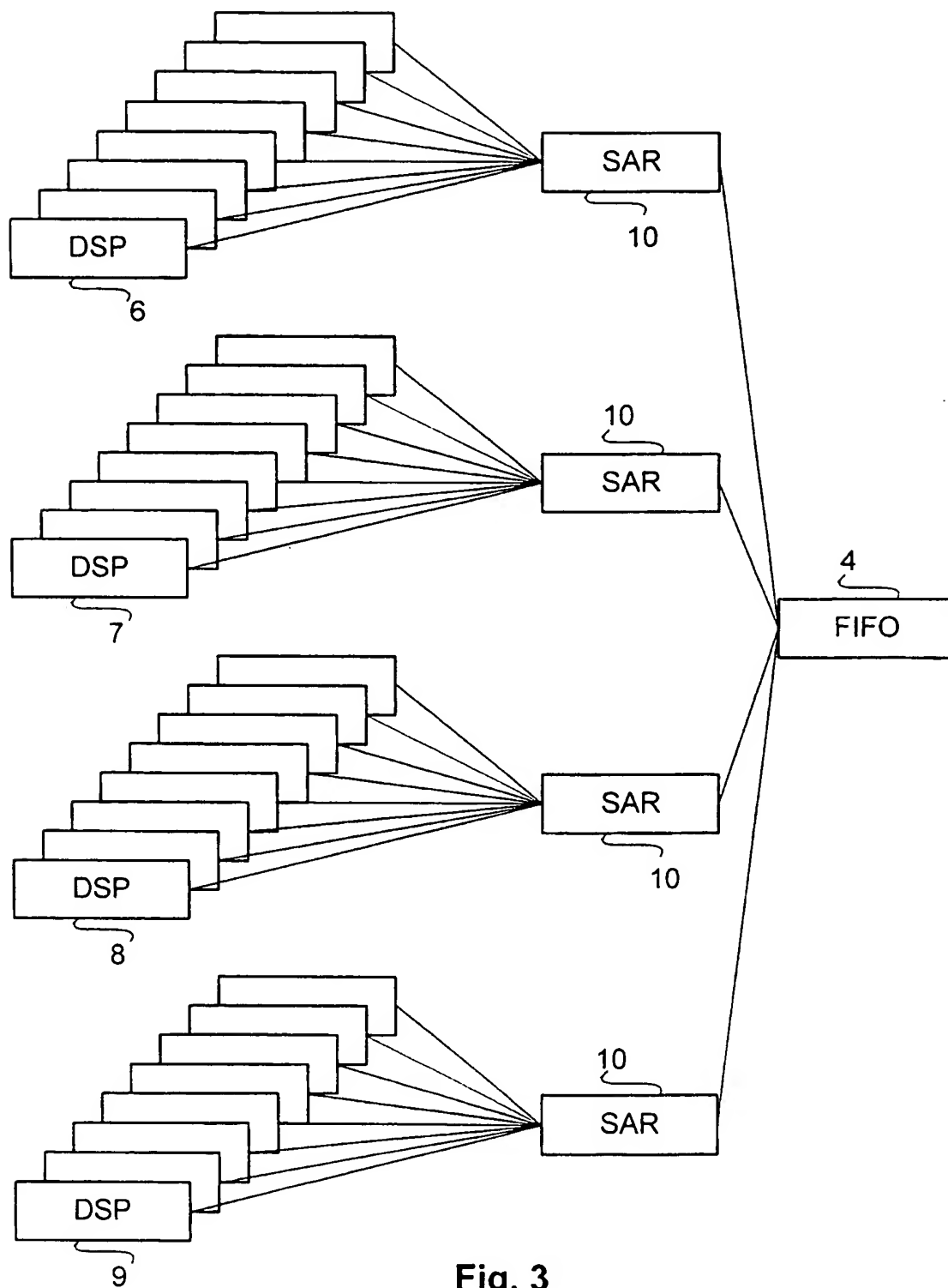


Fig. 3

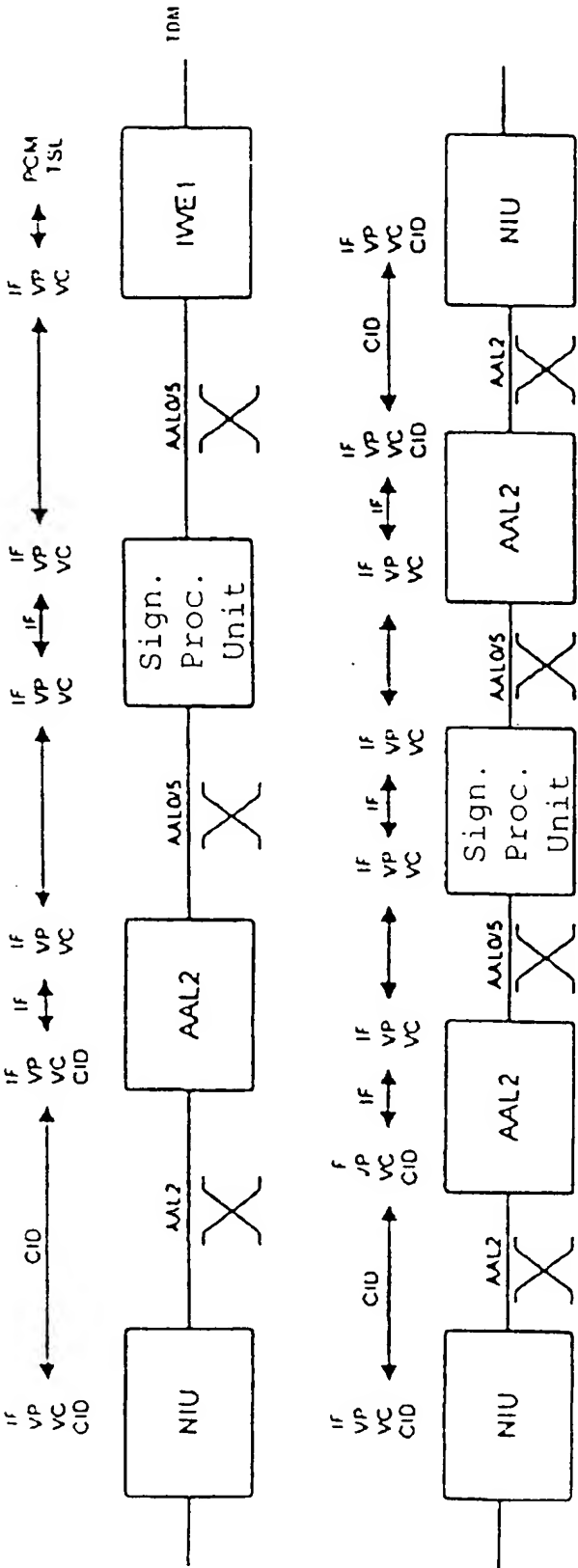


FIG. 4



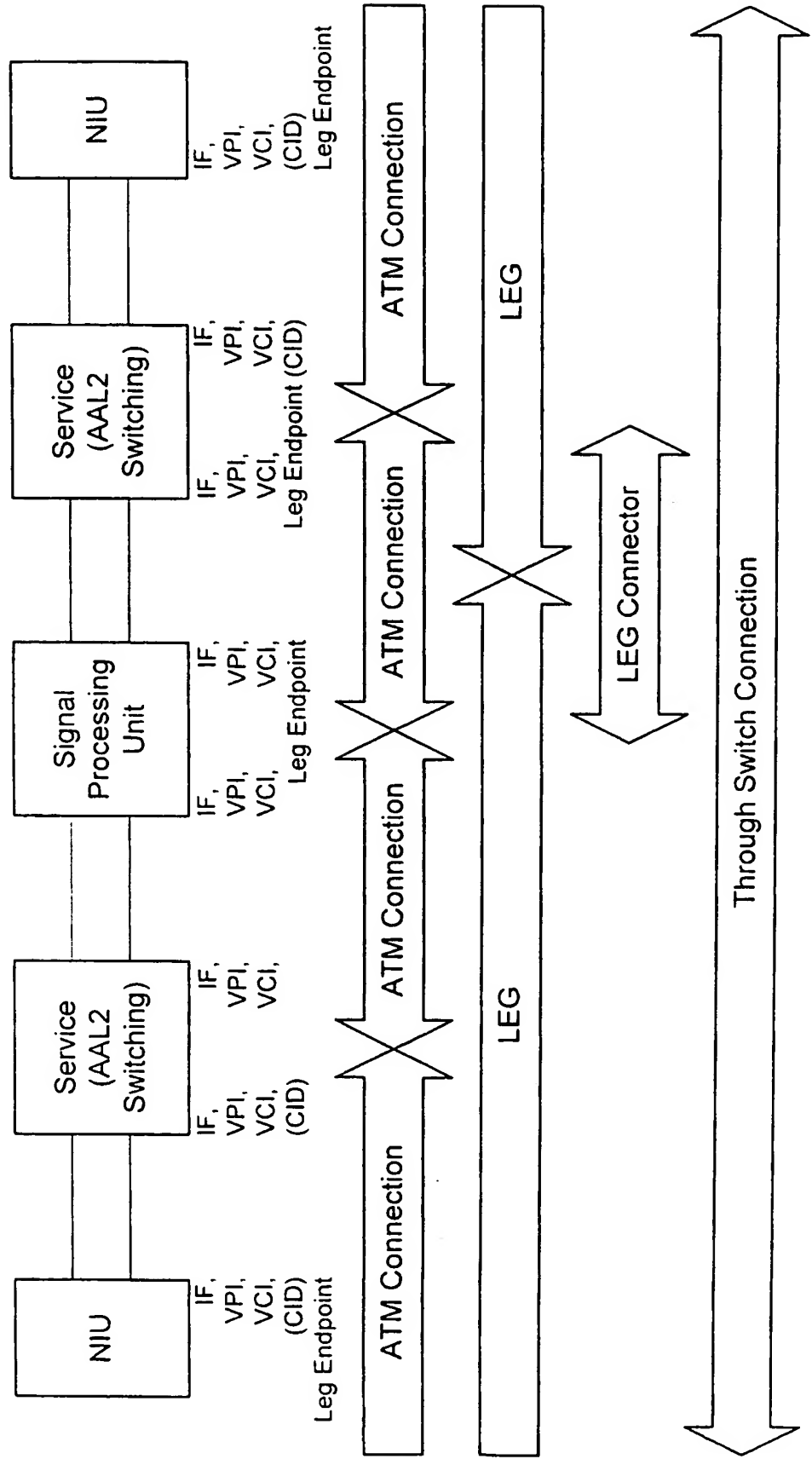


Fig.5

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 00/03566

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 H04Q11/04

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04Q H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 809 025 A (TIMBS JEFFREY L) 15 September 1998 (1998-09-15) column 2, line 65 - column 6, line 53 column 14, line 10 - line 20; claim 7 ---	1-24
A	HEA-SOOK PARK ET AL: "The Design of Reliable Controller for Interprocessor Communication Network using ATM Switch" SYSTEM SCIENCES, 1998; PROCEEDINGS OF THE THE HAWAII INT CONF ON, vol. 3, 6 - 9 January 1998, pages 263-272, XP002901613 paragraph [03.2] abstract ---	1-24
A	WO 97 24841 A (CISCO SYSTEMS INC) 10 July 1997 (1997-07-10) page 18, line 1 - line 16; claims 1-7 ---	1-24
-/--		

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

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"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&amp;" document member of the same patent family

Date of the actual completion of the international search

27 December 2000

Date of mailing of the international search report

24.04.2001

Name and mailing address of the ISA

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International Application No

PCT/EP 00/03566

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